

837P Health Care Claim Professional

Revision summary

Revision Number	Date	Summary of Changes
6.0	5/27/04	Verbiage changes throughout the companion guide
7.0	06/29/04	Updated to include the appropriate Independence Blue Cross/Keystone Health Plan East qualifier "G2" on pages 15 and 17.
8.0	10/26/06	NPI Requirements added to page 6 .
9.0	11/20/06	REF segment qualifier update
10.0	11/29/06	PRV segment qualifier update
11.0	09/20/07	Updated for Select Advantage

Table of Contents

Disclaimer	2
Overview of Document	4
General Instructions.....	4
Transmission Size	5
Transaction Structure & Processing -- Batch Mode.....	5
Batch Mode Process	5
National Provider Identifier (NPI)	6
Transaction Acknowledgements	36

Disclaimer

This Independence Blue Cross/Keystone Health Plan East (hereinafter referred to as "IBC/KHPE") Companion Guide to EDI Transactions (the "Companion Guide") provides trading partners with guidelines for submitting electronic batch transactions. Because the HIPAA ASC X12N Implementation Guides require transmitters and receivers to make certain determinations/elections (*e.g.*, whether, or to what extent, situational data elements apply), this Companion Guide documents those determinations, elections, assumptions, or data issues that are permitted to be specific to IBC/KHPE's business processes when implementing the HIPAA ASC X12N 4010A1 Implementation Guides.

This Companion Guide does not replace or cover all segments specified in the HIPAA ASC X12N Implementation Guides. It does not attempt to amend any of the requirements of the Implementation Guides, or impose any additional obligations on trading partners of IBC/KHPE that are not permitted to be imposed by the HIPAA Standards for Electronic Transactions. This Companion Guide provides information on IBC/KHPE specific codes relevant to IBC/KHPE's business processes and rules and situations that are within the parameters of HIPAA. Readers of this Companion Guide should be acquainted with the HIPAA Implementation Guides, their structure, and content.

This Companion Guide provides supplemental information to the Trading Partner Agreement that exists between IBC/KHPE and its trading partners. Trading partners should refer to their Trading Partner Agreement for guidelines pertaining to IBC/KHPE's legal conditions surrounding the implementation of the EDI transactions and code sets. However, trading partners should refer to this Companion Guide for information on IBC/KHPE's business rules or technical requirements regarding the implementation of HIPAA-compliant EDI transactions and code sets.

Nothing contained in this Companion Guide is intended to amend, revoke, contradict, or otherwise alter the terms and conditions of the Trading Partner Agreement. If there is an inconsistency between the terms of this Companion Guide and the terms of the Trading Partner Agreement, the terms of the Trading Partner Agreement will govern.

Overview of Document

This Companion Guide is to be used as a supplement to the 837 Professional Health Care Claim Implementation Guide, version 4010A1, issued October 2002. As such, this Companion Guide must be referred to for transmitting the 837 Professional Health Care Claim transactions to IBC/KHPE.

The purpose of this Companion Guide is to outline IBC/KHPE requirements for handling the 837 Professional and to delineate specific data requirements for the submission of the 837P to IBC/KHPE.

This Companion Guide was developed to guide organizations through the implementation process so that the resulting transaction will meet the following business objectives:

- **Convey all required business information required by IBC/KHPE to process transactions.**
- **Interpret information in the same way:** The definition of the transaction will be specific so that trading partners can correctly interpret, from a business perspective, the information that is received from each other.
- **Simplify the communication:** The transaction will be standard to simplify communication between trading partners and to follow the requirements of HIPAA. [TOP](#)

General Instructions

The 837P can be used to submit health care claim billing information, encounter information, or both, from providers of health care services either directly or via trading partner or clearinghouse.

[TOP](#)

Payers include, but not limited to:

- Insurance Company
- Health Maintenance Organization (HMO)
- Government Agency (Medicare, Medicaid, CHAMPUS, etc.)

Transmission Size

5,000 Claims per ST (limit is for CLM segment). [TOP](#)

Transaction Structure & Processing -- Batch Mode

There will be a separate ISA-IEA set for each different type of transaction. For example, if an electronic transmission between two trading partners contains claims and authorizations, there will be two ISA-IEA sets; one for claims (837) and one for authorizations (278).

This Companion Guide reflects conventions for batch implementation of the ANSI X12 837P. [TOP](#)

Batch Mode Process

The 837P will be implemented in batch mode. The submitting organization will send the 837P to IBC/KHPE through some means of telecommunications and will not remain connected while IBC/KHPE processes the transaction.

If a portion of or the entire ISA segment is unreadable or does not comply with the Implementation Guide and if there is sufficient routing information that can be extracted from the ISA, IBC/KHPE will respond with an appropriate TA1 transaction. Otherwise, IBC/KHPE will be unable to respond. In either case, the batch will not be processed.

IBC/KHPE will respond with a 997 transaction as an acknowledgment to every batch file of 837P transactions that is received. This 997 acknowledgment will be sent whether or not the provider, or its intermediary, requests it. The acknowledgment 997 transaction will indicate whether or not the batch can be processed. If the GS segment of the batch does not comply with the Implementation Guide, IBC/KHPE may not be able to process the transaction.

If the information associated with any of the claims in the 837P ST-SE batch is not correctly formatted from a syntactical perspective, all claims between the ST-SE will be rejected. Providers should consider this possible response when determining how many patients and claims they will submit in a single 837P. [TOP](#)

National Provider Identifier (NPI)

Independence Blue Cross/Keystone Health Plan East will require the submission of National Provider Identification Number (NPI) for all electronic claims (837).

If you have obtained your NPI(s) and submitted them to us, you may begin to report them **in addition to your current provider identification numbers.**

[TOP](#)

837 Professional: Segment Usage Detail

The 837 Professional Data Element Segment identifies the specific data content required by IBC/KHPE.

IBC/KHPE Business Rules referenced in the Segment Usage Detail represent the following situations:

- The element is required by the Implementation Guide and required by IBC/KHPE.
- The element is situational by the Implementation Guide and, when the situation exists, is required to be included by IBC/KHPE.
- The element is situational by the Implementation Guide and, based on IBC/KHPE's business, is always required by IBC/KHPE.

Segment:

BHT Beginning of Hierarchical Transaction

Loop:

Beginning of Hierarchical Transaction

Level:

Detail

Usage:

Required by Implementation Guide

Business Rule:

IBC/KHPE requires submission with only the following data elements for this segment:

Data Element Summary

Ref Des	Element Name	Element Note
BHT06	Transaction Type Code	Enter code value:

CH = Use when submitting claims

RP = Use when submitting encounters

[TOP](#)

Segment: **PRV** Billing/Pay to Provider Specialty Information

Loop: **2000A Billing/Pay-to Provider Hierarchical Level**

Level: **Detail**

Usage: Situational by Implementation Guide

Business: **IBC/KHPE does require submission of the following data elements for this segment only if the Rendering Provider is the same entity as the Billing Provider or Pay-to-Provider. Do not use when Billing Provider or Pay-to-Provider is a group - in this case, the PRV segment is then coded with the Rendering Provider in loop 2310B.**

Rule:

Data Element Summary

Ref Des	Element Name	Element Note
PRV01	Provider Code	Enter code value: BI Billing PT Pay-To
PRV02	Reference Identification Qualifier	ZZ Mutually Defined Healthcare Provider Taxonomy Code list
PRV03	Reference Identification	Provider Taxonomy Code

[TOP](#)

Segment: **NM1** Billing Provider Name
 Loop: **2010AA Billing Provider Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM108	Identification Code Qualifier	Enter code value: XX - Health Care Financing Administration National Provider Identifier "If XX-NPI is used, then either the Employer's Identification Number or the Social Security Number of the provider must be carried in the REF in this loop."
NM109	Identification Code	Enter the appropriate National Provider ID (NPI).

[TOP](#)

Segment: **REF** Billing Provider Secondary Identification
 Loop: **2010AA Billing Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter code value: 1A or 1B for IBC/KHPE Products (including Select Advantage) 1C for Medicare Crossover Claims 1D for Family Planning Claims and BQ for Family Planning Claims EI Provider Tax ID number for all claims Note: Since the NPI is mandated, the billing provider's tax id number must be submitted in the secondary reference segment. SY Social Security Number
REF02	Reference Identification	Enter the appropriate provider identification number.

[TOP](#)

Segment: **SBR** Subscriber Information
 Loop: **2000B Subscriber Hierarchical Level**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following**
 Rule: **data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
SBR09	Claim Filing Indicator Code	Enter value: (choose one) BL for IBC/KHPE Products (including Select Advantage) MA or MB for Medicare Crossover Claims MC for Family Planning Claims only

[TOP](#)

Segment: **NM1** Subscriber Name
 Loop: **2010BA Subscriber Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following**
 Rule: **data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM109	Subscriber Primary Identifier	Enter the value from the subscriber's identification card (ID Card), including alpha characters. Spaces, dashes and other special characters that may appear on the ID Card are for readability and appearance only, are not part of the identification code, and therefore should not be submitted in this transaction.

[TOP](#)

Segment: **NM1** Payer Name
 Loop: **2010BB Payer Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following**
 Rule: **data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM108	Identification Code Qualifier	Enter code value: PI (Payer Identification)
NM109	Payer Primary Identifier	Enter value: (choose one) 54704 IBC CMM IBC Traditional IBC PPO IBC PC65 IBC Medicare Supplemental FEP Blue Card 95056 Keystone POS Keystone HMO Keystone Medicare Keystone Mercy (Family Planning Only) SA704 Select Advantage

[TOP](#)

Segment: **NM1** Patient Name
 Loop: **2010CA Patient Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following**
 Rule: **data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM109	Patient Primary Identifier	Enter the value from the subscriber's identification card (ID Card), including alpha characters. Spaces, dashes and other special characters that may appear on the ID Card are for readability and appearance only, are not part of the identification code, and therefore should not be submitted in this transaction.

[TOP](#)

Segment: **CLM** Health Claim Information
 Loop: **2300 Claim Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
CLM01	Claim Submitter's Identifier (Patient Control Number)	Do not enter values more that 20 characters.

[TOP](#)

Segment: **PRV** Referring Provider Specialty Information
 Loop: **2310A Referring Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business **IBC/KHPE requires submission with only the following**
 Rule: **data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
PRV01	Provider Code	Enter value: (choose one) RF for Referring
PRV02	Reference Identification Qualifier	Enter value: ZZ for Mutual Defined Health Care Provider Taxonomy Code list
PRV03	Reference Identification	Enter value: Provider Taxonomy Code

Segment: **NM1** Rendering Provider Name
 Loop: **2310B Rendering Provider Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM101	Entity Identifier Code	Enter code value: 82 (Rendering Provider)
NM102	Entity Type Qualifier	Enter code value: (choose one) 1 (Person) 2 (Non Person Entity)
NM103	Name Last or Organization Name	Enter value: Rendering Provider last or Organization Name
NM104	Name First	Value: Requesting Rendering Provider's first name
NM108	Identification Code Qualifier	Enter code value: (choose one) XX - Health Care Financing Administration National Provider Identifier
NM109	Identification Code	Enter the appropriate Rendering Provider National Provider ID (NPI).

[TOP](#)

Segment: **PRV** Rendering Provider Specialty Information
 Loop: **2310B Rendering Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business Rule: **IBC/KHPE requires submission with only the following data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
PRV01	Provider Code	Enter value: (choose one) PE for Performing
PRV02	Reference Identification Qualifier	Enter value: ZZ for Mutual Defined Health Care Provider Taxonomy Code list
PRV03	Reference Identification	Enter value: Provider Taxonomy Code

Segment: **REF** Rendering Provider Secondary Identification
 Loop: **2310B Rendering Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter code value: EI for Federal Tax ID 1B for IBC and Keystone Products (including Select Advantage) 1C for Medicare Crossover Claims 1D for Family Planning Claims SY for Social Security Number
REF02	Reference Identification	Enter the appropriate rendering provider identification number.

[TOP](#)

Segment: **NM1** Service Facility Location
Loop: **2310D Service Facility Location**
Level: **Detail**
Usage: Situational by Implementation Guide
Business **IBC/KHPE requires submission with only the following data**
Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
NM101	Entity Identifier Code	Enter code value: 77 Service Location FA Facility
NM102	Entity Type Qualifier	Enter code value: (choose one) 2 (Non Person Entity)
NM103	Name Last or Organization Name	Enter value: Laboratory or Organization Name
NM108	Identification Code Qualifier	Enter code value: (choose one) XX - Health Care Financing Administration National Provider Identifier
NM109	Identification Code	Enter the appropriate National Provider ID (NPI).

[TOP](#)

Segment: **REF** Service Facility Location Secondary Identification
 Loop: **2310D Service Facility Location**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter code value: 1B for IBC and Keystone Products (including Select Advantage) TJ for Federal Tax Identification Number 1C for Medicare Crossover Claims 1D for Family Planning Claims
REF02	Reference Identification	Enter the appropriate service facility provider identification number.

[TOP](#)

Segment: **LIN** Drug Identification
 Loop: **2410 — Drug Identification**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business: **IBC/KHPE requires submission the use of Loop ID 2410 to specify billing/reporting for drugs provided that may be part of the service(s) described in SV1.**
 Rule:

Data Element Summary

Ref Des	Element Name	Element Note
LIN02	Product/Service ID Qualifier	Enter Code Value: N4 (National Drug Code in 5-4-2 Format)
LIN03	Product/Service ID	Enter Value: National Drug Code

[TOP](#)

Segment: **CPT** Pricing Information
 Loop: **2410 – Drug Identification**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business **IBC/KHPE requires the submission of Loop ID 2410 provide**
 Rule: **a price specific to the NDC provided in LIN03 that is different**
from the price reported in SV102.

Data Element Summary

Ref Des	Element Name	Element Note
CPT03	Unit Price	Enter Value: Drug Unit Price
CPT04	Quantity	Enter Value: National Drug Unit Count
CPT05	Composite Unit of Measure	
CPT05-1	Unit or Basis for Measurement Code	Enter Code Value: F2 for International Unit GR for Gram ML for Milliliter UN for Unit

[TOP](#)

Segment: **REF** Reference Identification
 Loop: **2410 – Drug Identification**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business: **IBC/KHPE requires the submission of Loop ID 2410 if dispensing of the drug has been done with an assigned Rx number.**
 Rule:

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter Code Value: XZ (Pharmacy Prescription Number)
REF02	Reference Identification	Enter Value: Prescription Number

[TOP](#)

Segment: **NM1** Rendering Provider Name
 Loop: **2420A Rendering Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business **IBC/KHPE requires submission if the Rendering Provider**
 Rule: **NM1 information is different than that carried in the 2310B**
(claim) loop and this particular service line has a different
Rendering Provider.

Data Element Summary

Ref Des	Element Name	Element Note
NM101	Entity Identifier Code	Enter code value: 82 (Rendering Provider)
NM102	Entity Type Qualifier	Enter code value: (choose one) 1 (Person) 2 (Non Person Entity)
NM103	Name Last or Organization Name	Enter value: Rendering Provider last or Organization Name
NM104	Name First	Value: Rendering Provider first name
NM108	Identification Code Qualifier	Enter code value: (choose one) XX - Health Care Financing Administration National Provider Identifier
NM109	Identification Code	Enter the appropriate National Provider ID (NPI).

[TOP](#)

Segment: **PRV** Rendering Provider Specialty Identification
 Loop: **2420A Rendering Provider Name**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business Rule: **IBC/KHPE requires submission with only the following data elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
PRV01	Provider Code	Enter value: (choose one) PE for Performing
PRV02	Reference Identification Qualifier	Enter value: ZZ for Mutual Defined Health Care Provider Taxonomy Code list
PRV03	Reference Identification	Enter value: Provider Taxonomy Code

Segment: **REF** Rendering Provider Secondary Identification

Loop: **2420A Rendering Provider Name**

Level: **Detail**

Usage: Situational by Implementation Guide

Business: **IBC/KHPE requires submission if the Rendering Provider NM1 information is different than that carried in the 2310B (claim) loop and this particular service line has a different Rendering Provider.**

Rule:

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter code value: 1B for IBC and Keystone Products (including Select Advantage) EI for Federal Tax ID SY for Social Security Number 1C for Medicare Crossover Claims 1D for Family Planning Claims
REF02	Reference Identification	Enter the appropriate rendering provider identification number.

[TOP](#)

Segment: **CAS** Claims Level Adjustment
 Loop: **2320 Other Subscriber Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment when submitting a Coordination of Benefits at the claim level:**

Data Element Summary

Ref Des	Element Name	Element Note
CAS01	Claims Adjustment Group Code	Enter code value: (choose one) CO (Contractual Obligations) CR (Corrections and Reversals) OA (Other Adjustments) PI (Payer Initiated Reductions) PR (Patient Responsibility)
CAS02	Claims Adjustment Reason Code	Enter value: Adjustment Reason Code
CAS03	Claim Adjusted Amount	Enter value: Adjustment Amount

[TOP](#)

Segment: **AMT** COB Payer Paid Amount
 Loop: **2320 Other Subscriber Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following data elements for this segment when submitting a Coordination of Benefits:**
 Rule:

Data Element Summary

Ref Des	Element Name	Element Note
AMT01	Amount Qualifier Code	Enter code value: D (Payer Amount Paid)
AMT02	Monetary Amount	Enter value: Payer Paid Amount

[TOP](#)

Segment: **AMT** COB Patient Responsibility Amount

Loop: **2320 Other Subscriber Information**

Level: **Detail**

Usage: Required by Implementation Guide

Business **IBC/KHPE requires submission with only the following data**

Rule: **elements for this segment when submitting a Coordination of Benefits:**

Data Element Summary

Ref Des	Element Name	Element Note
AMT01	Amount Qualifier Code	Enter code value: F2 (Patient Responsibility Actual)
AMT02	Monetary Amount	Enter value: Patient Responsibility Amount

[TOP](#)

Segment: **NM1** Other Subscriber Name
 Loop: **2330A Other Subscriber Name**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business: **IBC/KHPE requires submission with only the following data elements for this segment IBC/KHPE requires submission with only the following data elements for this segment when submitting a Coordination of Benefits:**
 Rule:

Data Element Summary

Ref Des	Element Name	Element Note
NM101	Entity Identifier Code	Enter code value: IL (Insured or Subscriber)
NM102	Entity Type Qualifier	Enter code value: (choose one) 1 (Person) 2 (Non Person Entity)
NM103	Name Last or Organization Name	Enter value: Subscriber last or Organization Name
NM104	Name First	Enter value: Subscriber's first name
NM108	Identification Code Qualifier	Enter code value: MI (Member Identification Number) ZZ (Mutually Defined Healthcare Provider Taxonomy Code List)
NM109	Identification Code	Enter value: Member Identification Number

[TOP](#)

Segment: **CAS** Claims Adjustment
 Loop: **2430 – Line Adjudication Information**
 Level: **Detail**
 Usage: Situational by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment when submitting a Coordination**
of Benefits at the line level:

Data Element Summary

Ref Des	Element Name	Element Note
CAS01	Claims Adjustment Group Code	Enter code value: (choose one) CO (Contractual Obligations) CR (Corrections and Reversals) OA (Other Adjustments) PI (Payer Initiated Reductions) PR (Patient Responsibility)
CAS02	Claims Adjustment Reason Code	Enter value: Adjustment Reason Code at the line level
CAS03	Claim Adjusted Amount	Enter value: Adjustment Amount

[TOP](#)

Segment: **CLM** Health Claim Information
 Loop: **2300 Claim Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment:**

Data Element Summary

Ref Des	Element Name	Element Note
CLM05-3	Claims Frequency Type Code	Enter code value: (choose one) 6 (Correction) 7 (Replacement)

[TOP](#)

Segment: **REF** Original Reference Number
 Loop: **2300 Claim Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment when submitting an adjustment**
request:

Data Element Summary

Ref Des	Element Name	Element Note
REF01	Reference Identification Qualifier	Enter code value: F8 (Original Reference Number)
REF02	Original Reference Number	Enter value: The IBC/KHPE claim number

[TOP](#)

Segment: **NTE** Claim Notes
 Loop: **2300 Claim Information**
 Level: **Detail**
 Usage: Required by Implementation Guide
 Business **IBC/KHPE requires submission with only the following data**
 Rule: **elements for this segment when submitting an adjustment**
request:

Data Element Summary

Ref Des	Element Name	Element Note
NTE01	Notes Reference Code	Enter code value: ADD (To provide additional detail description for the adjustment).
NTE02	Claim Note Text	Enter a detail description regarding the adjustment request.

[TOP](#)

Transaction Acknowledgements

TA1 Interchange Acknowledgement Transaction

All X12 file submissions are pre-screened upon receipt to determine if the ISA or IEA segments are unreadable or do not comply with the HIPAA Implementation Guide. If errors are found, IBC/KHPE will send a TA1 response transaction to notify the trading partner that the file cannot be processed. No TA1 response transaction will be sent for error-free files.

Example: Once the 837P is received by IBC/KHPE, the file is checked for HIPAA compliance. Within IBC/KHPE, a validation is performed on the ISA loop and the IEA loop information. If these segments are missing required elements or have a non-standard structure, the file will receive a full file reject and the TA1 response transaction will be sent to the trading partner.

997 Functional Acknowledgement

If the file submission passes the ISA/IEA pre-screening above, it is then checked for HIPAA compliance syntactical and content errors. When the compliance check is complete, a 997 will be sent to the trading partner informing them which claims in the file were accepted for processing or rejected.

Example: An X12 file has passed pre-screening, and is then checked against the HIPAA standard. Once the file has been processed against the HIPAA standard, a 997 is generated indicating which claims within the file have passed or failed syntactical/content errors. No further processing of the failed X12 transaction will occur.

Unsolicited 277

The Unsolicited 277 acknowledgment is used for the 837P. The Unsolicited 277 acknowledgment provides accepted or rejected claim status for each claim contained within the batch.

****It is important to note that:*

Only accepted claims are submitted to the claims adjudication system for processing and the outcome results will appear on the statement of remittance (SOR).

A detailed explanation of the reason for claim rejection is contained in the STC12 segment of the Unsolicited 277 transaction.

Example: A batch file is received with three 837P claims that pass compliance. During processing, the first claim rejects due to invalid member information, the second claim rejects due to an invalid procedure code, and the third claim is accepted with no errors. The Unsolicited 277 is generated and returns a status of one accepted claim and two rejected claims along with an explanation of the reasons the claims were rejected. In addition, the one accepted claim is submitted to the claims adjudication system for processing.